Client Consent

Investment, Savings & Retirement Planning

Having understood the 2plan wealth management Financial Advice Process, you confirm that it is your intention to proceed with the purchase of a financial product(s) providing the advice and recommendation(s) meets your needs. However, you understand that you are not under any obligation to purchase the product(s) recommended.

Client 1 ☐
Client 2 ☐

Our main line of business is providing advice on where to invest your money. We can also provide advice on savings accounts such as bank or building society products and we would charge appropriate fees for this. Please tick this box if you would like us to discount these products from our research process.

Client 1 ☐
Client 2 ☐

Payment by Adviser Charge. The following confirms the agreed fees for each step of the 2plan wealth management Financial Advice Process.

		STEP 1 Consultation	STEP 2 Advice	STEP 3 Implementation	STEP 4 Ongoing Service
Not required		☐	☐	☐	☐
At our cost		☐	☐	☐	☐
A percentage of the gross amount invested / ongoing value of investment			%	%	%
Actual cash amount			£	£	£
Hourly rate	No. of hours				
£	Total cost	£	£	£	£
	Not to exceed	£	£	£	£
Fixed charge		£	£	£	£
Payable directly from the client		☐	☐	☐	☐
Facilitated by the product provider or payable directly from the client, if conclusion is advice only.			☐	☐	☐
Frequency of payments					Ongoing payment to start immediately ☐ or on month ☐

Ongoing Service

Reassess your financial situation at that time; complete a new investment risk questionnaire; define your attitude to risk; appraise your existing investments to ensure alignment with your attitude to risk; consider your financial objectives and goals; discuss the investment performance; ensure the continued suitability of your current investments; identify any further financial objectives: confirm your annual fees; issue a suitability report. In addition provide ongoing advice and implementation (available if you are transferring the servicing of existing policies to your adviser). 2plan wealth management will also attempt to obtain a weekly valuation of your investment and pension funds where available.

Please tick to confirm ongoing service is required and insert your email address.

Client 1 ☐ Email ______ Client 2 ☐ Email ______

How It's Delivered

☐ Face to Face ☐ Telephone ☐ Web-enabled meeting ☐ Email/Postal

How Often

☐ Quarterly ☐ 6 Monthly ☐ Annually

Non-Investment Insurance

We can be paid by fee, commission or a combination of both. You have a choice about how to pay. Please confirm below:

Payment by fee	Insert amount of agreed fee	£
Payment by commission. The amount to be confirmed by your adviser if a product is recommended		
Combination of fees and commission	Insert amount of agreed fee	£

Communication via a secure website

2plan wealth management, their group companies and your financial adviser may communicate by sending you a link to our Client Access Portal. This enables communications to be delivered efficiently and safely whilst reducing paperwork.

Would you like to receive information from 2plan wealth management, their group companies and your financial adviser?

2plan wealth management, their group companies and your financial adviser believe it is important to keep in touch with you and would like to occasionally send you information about products, services, news and events.

Yes, I would like to receive information as described above. Please tick all boxes which apply:

Client 1: By Post ☐ By Email ☐

Client 2: By Post ☐ By Email ☐

If at any point you wish to withdraw your consent, please email admin@2plan.com or write to us at 2plan wealth management Ltd, 3rd Floor, Bridgewater Place, Water Lane, Leeds, LS11 5BZ.

PARTIES

2plan wealth management Ltd (company registration number 05998270) of 3rd Floor, Bridgewater Place, Water Lane, Leeds, LS11 5BZ (the "Company"); and

Name of Client 1

Name of Client 2

Address

Client 1 Signature

Client 2 Signature

Date signed

Signed

Adviser

Signature

Date of issue

Adviser Charge Agreement Terms And Conditions

Any agreements are made between you and 2plan wealth management Ltd. Registered Office: 3rd Floor, Bridgewater Place, Water Lane, Leeds, LS11 5BZ. Whereby it is agreed:

1. The terms of business of this Personal Client Agreement will come into effect from the date of issue.

2. In consideration for providing the service of a financial adviser the client will pay a fee to 2plan wealth management Ltd as above. The client indicates the agreed options when signing this agreement.

3. Where appropriate, expenses, disbursements and Value Added Tax will be added to the charge.

4. All payments shall be made payable to 2plan wealth management Ltd.

5. Where VAT is payable an invoice will be issued by 2plan wealth management Ltd.

6. Should any payment remain outstanding beyond 28 days, 2plan wealth management Ltd reserves the right to charge interest at 3% over the base rate of The Bank of England for any period in excess of 28 days that the payment remains outstanding.

7. Where it becomes evident that an overpayment has been made in relation to this agreement, a payment in error been made or a payment becomes due to yourself/yourselves for whatever reason, 2plan wealth management Ltd will endeavour to repay funds back to you within 14 days of discovering the overpayment.

8. You authorise 2plan wealth management Ltd to liaise with your other professional advisers in exchanging relevant personal information pertinent to your financial planning requirements and to rely on any such information provided.

9. We may change the Terms, including our fees and charges, from time to time in whole or in part either with immediate effect, by your written agreement with your financial adviser or by 2plan wealth management notifying you of any changes in writing and providing you with 30 days' notice. If you feel you no longer require any ongoing service then you can write to us at the address above and tell us this. We will then inform your financial adviser and cancel any ongoing charges.