Client Consent

Having understood the 2plan wealth management Mortgage and Protection Process, you confirm that it is your intention to proceed with the purchase of a financial product(s) providing the advice and recommendation(s) meet your needs. However you understand that you are not under any obligation to purchase the product(s) recommended:

Client 1 ☐

Client 2 ☐

You have a choice about how the cost of services is met. Please confirm below:

Agreed	Next Steps
☐ Fee Paid by You	No commission will be received by 2plan wealth management. Please complete the relevant fee options opposite.
☐ Commission. For mortgages, commission is usually described as a procuration fee	Amount to be confirmed by your adviser if a product is recommended.
☐ Combination of fees and commission	The amount of commission or procuration fee will be disclosed by your adviser. A fee agreement will also be completed. Please complete the relevant fee options opposite.

Refund of Mortgage Fees

If we charge you a fee, and your mortgage does not go ahead, you will receive:

☐ A full refund if we cannot obtain a mortgage for you.

☐ A refund of £ ______ if we arrange a mortgage for you which you subsequently decline.

☐ A refund of £ ______ if your application falls through.

☐ No refund if: you decide not to proceed with our services / your transaction does not proceed / we are unable to obtain you a mortgage because of your personal circumstances which you have not disclosed to us. (delete as appropriate)

☐ You may contact me/us from time to time with new mortgage offers.

Mortgage Fee Options

A fee of £ ______ payable for our mortgage advice services. This fee applies to researching the mortgage market and providing you with mortgage-related advice. This is payable at outset.

A fee of £ ______ payable on application. We will refund to you any commission we receive from the lender.

A fee of £ ______ payable on receipt of the mortgage offer. We will refund to you any commission we receive from the lender.

A fee of £ ______ payable on completion. We will refund to you any commission we receive from the lender.

A fee of £ ______ payable on application. We will also retain all commission paid by the lender.

A fee of £ ______ payable on receipt of the mortgage offer. We will also retain all commission paid by the lender.

A fee of £ ______ payable on completion. We will also retain all commission paid by the lender.

A fee of £ ______ for producing a financial plan.

You will receive a mortgage illustration when considering a particular mortgage, which will tell you about any fees relating to it. It is important that you and understand this document. Please raise any questions.

Non-Investment Insurance

We can be paid by fee, commission or a combination of both. You have a choice about how to pay. Please confirm below:

☐ Payment by fee	Insert amount of agreed fee	£
☐ Payment by commission. The amount to be confirmed by your adviser if a product is recommended		
☐ Combination of fees and commission	Insert amount of agreed fee	£

Communication via a secure website

2plan wealth management, their group companies and your financial adviser may communicate by sending you a link to our Client Access Portal. This enables communications to be delivered efficiently and safely whilst reducing paperwork.

Would you like to receive information from 2plan wealth management, their group companies and your financial adviser?

2plan wealth management, their group companies and your financial adviser believes it is important to keep in touch with you and would like to occasionally send you information about products and services news and events.

Yes, I would like to receive information as described above. Please tick all boxes which apply:

Client 1: By Post ☐ By Email ☐

Client 2: By Post ☐ By Email ☐

If at any point you wish to withdraw your consent, please email admin@2plan.com or write to us at 2plan wealth management Ltd, 3rd Floor, Bridgewater Place, Water Lane, Leeds, LS11 5BZ.

PARTIES

2plan wealth management Ltd (company registration number 05998270) of 3rd Floor, Bridgewater Place, Water Lane, Leeds LS11 5BZ (the "Company"); and

Name of Client 1

Name of Client 2

Address

Client 1 Signature

Client 2 Signature

Date signed

Signed

Adviser

Signature

Date of issue

Adviser Charge Agreement Terms And Conditions

Any agreements are made between you and 2plan wealth management Ltd. Registered Office: 3rd Floor, Bridgewater Place, Water Lane, Leeds, LS11 5BZ.

Whereby it is agreed:

1. The terms of business of this Personal Client Agreement will come into effect from the date of issue.
2. In consideration for providing the service of a financial adviser the client will pay a fee to 2plan wealth management Ltd as above. The client indicates the agreed options when signing this agreement.
3. Where appropriate, expenses, disbursements and Value Added Tax will be added to the charge.
4. All payments shall be made payable to 2plan wealth management Ltd.
5. Where VAT is payable an invoice will be issued by 2plan wealth management Ltd.
6. Should any payment remain outstanding beyond 28 days, 2plan wealth management Ltd reserves the right to charge interest at 3% over the base rate of The Bank Of England for any period in excess of 28 days that the payment remains outstanding.
7. Where it becomes evident that an overpayment has been made in relation to this agreement, 2plan wealth management Ltd will endeavour to repay funds back to you within 14 days of discovering the overpayment.
8. You authorise 2plan wealth management Ltd to liaise with your other professional advisers in exchanging relevant personal information pertinent to your financial planning requirements and to rely onany such information provided.
9. We may change the Terms, including our fees and charges, from time to time in whole or in part either with immediate effect, by your written agreement with your financial adviser or by 2plan wealth management notifying you of any changes in writing and providing you with 30 days' notice. If you feel you no longer require any ongoing service then you can write to us at the address above and tell us this. We will then inform your financial adviser and cancel any ongoing charges.